

# A HELLY-NUMBER FOR $k$ -ALMOST-NEIGHBORLY SETS

BY  
MARILYN BREEN

## ABSTRACT

It is possible to prove the following "Helly-type" theorem for  $k$ -almost-neighborly sets.

**THEOREM.**  $A \subseteq R^d$  is  $k$ -almost-neighborly if and only if every  $2d + 1$  member subset of  $A$  is  $k$ -almost-neighborly. Moreover, if  $A \subseteq \text{bdry conv } A$ , then  $A$  is  $k$ -almost-neighborly if and only if every  $2d$  member subset of  $A$  is  $k$ -almost-neighborly. Each bound is best possible.

Let  $A$  be an arbitrary subset of  $R^d$  with  $\dim \text{conv } A = d$ . Then  $A$  is  $k$ -almost-neighborly if and only if for every  $k$  member subset  $B$  of  $A$ ,  $\text{conv } B \subseteq \text{bdry conv } A$ . Since  $\dim \text{conv } A = d$ , there is a set of  $d + 1$  points of  $A$  whose convex hull is  $d$  dimensional. Therefore, the definition of  $k$ -almost-neighborly is meaningful only for  $1 \leq k \leq d$ . If  $A$  is  $k$ -almost-neighborly, then  $A$  is  $j$ -almost-neighborly for  $1 \leq j \leq k$ . Clearly if  $A \cap \text{int conv } A \neq \phi$ , then  $A$  is not  $k$ -almost-neighborly for any  $1 \leq k$ .

It is easily proved (and in fact appears as an exercise in Grünbaum [2]) that a subset  $A$  of  $R^d$  is  $k$ -almost-neighborly if and only if every  $2d + k$  member subset of  $A$  is  $k$ -almost-neighborly. Grünbaum has conjectured that the bound might be lowered to  $2d + 1$ . The following theorem shows that  $2d + 1$  is indeed the correct Helly-number.

**THEOREM.** Let  $A$  be an arbitrary subset of  $R^d$ . Then  $A$  is  $k$ -almost-neighborly if and only if every  $2d + 1$  member subset of  $A$  is  $k$ -almost-neighborly. Moreover, if  $A \subseteq \text{bdry conv } A$ , then  $A$  is  $k$ -almost-neighborly if and only if every  $2d$  member subset of  $A$  is  $k$ -almost-neighborly. Each bound is best possible.

The proof will require the following result, which is a special case of theorem D of Bonnice-Reay [1].

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LEMMA. Let  $A \subseteq R^d$ ,  $p \in \text{int conv } A$ . Let  $B \subseteq A$  be the vertex set of a maximal simplex for which  $p \in \text{rel int conv } B$ ,  $j = \dim \text{conv } B$ . Then there is a subset  $C$  of  $A$  containing  $B$  with  $d + 1 \leq |C| \leq 2d - j + 1 \leq 2d$  and  $p \in \text{int conv } C$ .

PROOF. Certainly if  $A$  is  $k$ -almost-neighborly, then every subset of  $A$  is  $k$ -almost-neighborly.

To prove the converse, consider two cases.

Case 1. If  $A \not\subseteq \text{bdry conv } A$ , then select some point  $p$  in  $A \cap \text{int conv } A$ . Using the Lemma, select  $C$  in  $A$  such that  $d + 1 \leq |C| \leq 2d$  and  $p \in \text{int conv } C$ . Then  $|C \cup \{p\}| \leq 2d + 1$  yet  $p \notin \text{bdry conv } (C \cup \{p\})$ . Thus  $C \cup \{p\}$  is not 1-almost-neighborly and cannot be  $k$ -almost-neighborly. Therefore  $A$  is not  $k$ -almost-neighborly. We have proved that if  $A \not\subseteq \text{bdry conv } A$ , neither  $A$  nor every  $2d + 1$  member subset of  $A$  is  $k$ -almost-neighborly and the theorem is satisfied.

Case 2. Henceforth assume  $A \subseteq \text{bdry conv } A$ . We use a contrapositive argument. Assume that  $A$  is not  $k$ -almost-neighborly and let  $B$  denote a  $k$  member subset of  $A$  for which  $\text{conv } B \not\subseteq \text{bdry conv } A$ . Thus  $\text{conv } B$  contains some point  $p$  interior to  $\text{conv } A$ . Reduce to a subset  $B_0$  of  $B$  such that  $p \in \text{rel int conv } B_0$  and  $B_0$  is the vertex set of a maximal simplex. Using the Lemma, if  $j = \dim \text{conv } B_0$ , select a  $d$  dimensional subset  $C$  of  $A$  containing  $B_0$  with  $d + 1 \leq |C| \leq 2d - j + 1 \leq 2d$  and  $p \in \text{int conv } C$ . Certainly  $|B_0| = j + 1 \leq k$ . Since  $p \in \text{conv } B_0$ ,  $\text{conv } B_0 \not\subseteq \text{bdry conv } C$ ,  $C$  is not  $(j + 1)$ -almost-neighborly and certainly not  $k$ -almost-neighborly, completing the proof.

The following examples show the bounds in case 1 and 2 are best possible.

EXAMPLE 1. Let  $e_i$  be the point in  $R^d$  whose  $i$ th component is 1 and whose other components are zero. Let  $A$  denote the points  $e_i$ ,  $1 \leq i \leq d$ , together with their negatives and the origin  $p$ . Then  $A \not\subseteq \text{bdry conv } A$ , each  $2d$  member subset of  $A$  is 1-almost-neighborly while  $A$  is not.

EXAMPLE 2. For  $A$  in example 1, let  $B = A \sim \{p\}$ . Then each  $2d - 1$  member subset of  $B$  is 2-almost-neighborly while  $B$  is not.

#### REFERENCES

1. W. Bonnice and J. R. Reay, *Relative Interiors of Convex Hulls*, Amer. Math. Soc. Proc., 20, (1969), 246-250.
2. B. Grünbaum, *Convex Polytopes*, New York, 1967.

DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF OKLAHOMA  
NORMAN, OKLAHOMA